

**[For New Accounts]
Option for opening Basic Services Demat Account (BSDA)**

To,
SPFL SECURITIES LIMITED
DPID - IN 300556
15/63 'M' CIVIL LINES
KANPUR - 208 001

Date :

D	D	M	M	Y	Y	Y	Y
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With reference to my / our application for opening a depository account, I/ We request-you to open my / our depository account as a BSDA as per the following details:

	Name	PAN							
Sole/First Holder									
Second Holder									
Third Holder									

I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA. I/We hereby declare that I/we am/are eligible to open a depository account as a BSDA holder and undertake to comply with the requirements specified by Securities and Exchange Board of India (SEBI) or any such authority for such facility from time to time. I/We also understand that in case I/We at any point of time do not meet the eligibility as a BSDA holder, my / our aforesaid account is liable to be converted to regular account.

1 _____ 2 _____ 3 _____

Signature(s) of Account Holder(s)

**[For Existing Accounts]
Option for converting regular account into Basic Services Demat Account (BSDA)**

To,
SPFL SECURITIES LIMITED
DPID : IN 300556
15/63 'M' CIVIL LINES
KANPUR - 208 001

Date :

D	D	M	M	Y	Y	Y	Y
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I/ We request you to convert my / our depository account into BSDA as per the following details:

DP ID	I	N	3	0	0	5	5	6	Client ID	PAN								
	Name																	
Sole/First Holder																		
Second Holder																		
Third Holder																		

I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA. I/We hereby declare that I/we am/are eligible to open a depository account as a BSDA holder and undertake to comply with the requirements specified by Securities and Exchange Board of India (SEBI) or any such authority for such facility from time to time. I/We also understand that in case I/We at any point of time do not meet the eligibility as a BSDA holder, my / our aforesaid account is liable to be converted to regular account.

1 _____ 2 _____ 3 _____

Signature(s) of Account Holder(s)



SPFL SECURITIES LIMITED
DEPOSITORY PARTICIPANT (NSDL)

15/63 M, NEAR D.A.V. COLLEGE, CIVIL LINES, KANPUR-208 001

Phones : (0512) 2305201, 2305201, 2305158 • Fax : (0512) 2305993

TARIFF APPLICABLE TO BENEFICIARY ACCOUNTS W.E.F. 1ST APRIL 2017

S. N.	PARTICULARS	SCHEME FOR ACCOUNTS WITH-POA			
		INVESTORS FOR OUR CM	INVESTORS FOR OUR CM	TRADERS FOR OUR CM	INVESTORS FOR OTHER CM
1.	Account opening Charge	Nil	Nil	Nil	Nil
2.	For POA (Stamp Charges)	Rs. 100/- for POA	Rs. 100/- for POA	Rs. 100/- for POA	Nil
3.	Annual Maintenance Charges	Rs.250/- per F.Y. or Rs. 2000/- Lifetime (Refundable)	Rs.400/- per F.Y. or Rs. 3500/- Lifetime (Refundable)	Rs.1000/- per F.Y. or Rs. 10000/- Lifetime (Refundable)	Rs.400/- per F.Y. or Rs. 3500/- Lifetime (Refundable)
4.	Transaction Charges : Sales (Debit Instructions for Market Trades)	Rs. 10/- per transaction	Rs. 14/- per transaction	0.02%(Min. Rs.4/-) plus NSDL Charges	0.04%(Min. Rs.16/-) plus NSDL Charges
5.	Transaction Charges : Sales (Debit Instructions for off Market Trades)	Rs. 15/- per transaction	0.03%(Min. Rs.12/-) per transaction, plus NSDL Charges	0.02%(Min. Rs.12/-) per transaction, plus NSDL Charges	0.04%(Min. Rs.16/-) per transaction, plus NSDL Charges
6.	Purchase(Credit Instructions for Market & off Market Trades)	Nil	Nil	Nil	Nil
7.	Pledge Creation/Confirmation	Rs. 40/- per transaction	Rs. 40/- per transaction	Rs. 40/- per transaction	Rs. 40/- per transaction
8.	Dematerialisation	Rs. 2/- per certificate+ Mailing charges Rs.50/-	Rs. 2/- per certificate+ Mailing charges Rs.50/-	Rs. 2/- per certificate+ Mailing charges Rs.50/-	Rs. 2/- per certificate+ Mailing charges Rs.50/-
9.	Rematerialisation	Rs. 15/- per certificate+ Mailing charges Rs.50/-	Rs. 15/- per certificate+ Mailing charges Rs.50/-	Rs. 15/- per certificate+ Mailing charges Rs.50/-	Rs. 15/- per certificate+ Mailing charges Rs.50/-
10.	Late Transaction Charges	Nil	Rs. 100/- per transaction	Rs. 100/- per transaction	Rs. 100/- per transaction

NOTES ON TARIFF :

- Annual Maintenance charge shall be deductible in the quarterly ratio in respect of all the Schemes on the Accounts opening during Financial year.
- No A.M.C. would be charged on the accounts under Lifetime A.M.C. option. The amount may be withdrawn at any point of time during the operation of the account or on closure. The amount would be refunded after deducting A.M.C. for the corresponding Financial Year.
- Trade instructions would be accepted against payment in cash for the accounts under without POA Scheme. The transaction for the accounts under POA Scheme would be collected month wise.
- All instructions for transfer shall be received from the clients under without POA scheme up to 4:00 p.m. on T+1 for pay- in securities. Late instructions would be accepted at the Client's sole risk and responsibility.
- Late charges would be collected for all instructions received after 4:00 p.m. for same day execution or execution date as pay-in-date.
- The charges are subject to revision at the DP's sole discretion and as per revision by NSDL with a notice period of 30 days.
- The selection of scheme will be on mutual consent of beneficiary owner and DP.
- Annual Maintenance charge is payable in advance on 1st April every year, wherever applicable.
- Any advance payment over and above the normal amount due may also be made which shall be adjusted against the Bills raised from time to time.
- All taxes / levies / cess as applicable.
- Annual Maintenance Charge for BSDA Clients :
 - Shall be Free up to the value of investment in the account is less or equal to Rs. 50,000/-.
 - Shall be charges Rs. 100/- per F.Y. up to the value of investment in the account exceeds Rs. 50,000/- and is less to Rs. 2,00,000/-.
 - Shall be charged Rs. 250/- pe F.Y. or Rs. 2,000/- Lifetime (Refundable) under POA scheme and Rs. 400/- pe F.Y. o Rs. 3,500/- Lifetime (Refundable) under without POA scheme if the value of investment in the exceeds Rs. 2,00,000/- and the account shall be treated as normal account of the investor.

Signature(s)



Sole / First Holder



Second Holder



Third Holder